

**ANNEXURE O
TRANSMISSION FORM**

Date

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To,
Globe Capital Market Limited
804, Ansal Bhawan
16 K.G Marg, New Delhi-110001

(1) I/We, the undersigned, being the

Executor(s) of the Will		Legal heir(s)	
Administrator(s) of the Estate		Joint holder(s)	
Successor(s) to the Estate		Nominee	

of Mr./Mrs./Ms. _____, Mr./Mrs./Ms. _____ and Mr./Mrs./Ms. _____, the deceased, of which *nomination / probate/ letter of administration / succession certificate was duly granted to me / us on the _____ day of _____ of _____ hereby request you to register me/us as the beneficial owner(s) in respect of the securities standing in the name of the said deceased under Client ID _____ DP ID _____.

(2) I/We give hereunder the details of my/our account with a Participant to which the security balances are requested to be transmitted:

Name	Client Id	DP Id

(3) List of Documents enclosed (for Individual accounts) (tick as applicable):

- A. For surviving holder(s) in a joint account.
 - Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer.
- B. For Nominee of deceased:
 - Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer.
 - In case the account of claimant is not with the Participant (**Globe Capital Market Limited**), copy of Client Master Report of the account of the nominee.
- C. For legal heir(s)/legal representative(s) where deceased was a sole holder and no nomination in the account- Value of holding not exceeding Rs. 5 Lakh on the date of application.
 - Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer
 - In case the account of claimant is not with the Participant (**Globe Capital Market Limited**), copy of Client Master Report of the account of the nominee.
 - Indemnity (format given at Annexure OC)
 - Affidavit (format given at Annexure OD)
 - No objection certificate(s) (format given at Annexure OE)
 - Family Settlement Deed [as an alternate to No objection certificate(s)]
- D. For legal heir(s)/legal representative(s) where deceased was a sole holder and no nomination in the account- Value of holding was Rs. 5 Lakh or more on the date of application.
 - Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer
 - In case the account of claimant is not with the Participant (**Globe Capital Market Limited**), copy of Client Master Report of the account of the nominee.

- Succession certificate
- Letter of Administration
- Probate of the Will

(4) Declaration:

(Applicable only for legal heir(s)/Legal representative(s) where deceased was a sole holder and no nomination in the account- Value of holding not exceeding Rs. 5 Lakh on the date of application)

We declare that the below mention person(s) are the only legal heir(s) of the deceased and there are not other legal heir(s). Of these, as specified below, some/all of them are claimants and some of them have given a No Objection Certificate in favors of other legal heir(s).Details are given below.

Sr. No.	Name of the Legal heir(s)	Specify whether a claimant or given a No Objection Certificate

(5) Signatures:

Sr. No.	Name of Claimant	Signature of claimant

Notes: 1. This request form should be signed by the surviving joint holder(s)/legal heir(s)/legal representative(s)/ Nominee, as the case may be.

2. Strike off whichever is not applicable.